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July 13, 2006

INTERNET ADDRESS: MLEE@SKGF.COM

Art Unit 2617

WRITER'S DIRECT NUMBER:

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Re:

U.S. Utility Patent Application

Appl. No. 09/476,092; Filed: January 3, 2000

Analog Zero IF FM Decoder and Embodiments Thereof, Such as the

**Family Radio Service** 

SORRELLS et al. Inventors: Our Ref: 1744.0250001

Sir:

Transmitted herewith for appropriate action are the following documents:

- PTO Fee Transmittal Form (PTO/SB/17); 1.
- 2. Twelfth Supplemental Information Disclosure Statement;
- 3. A listing of the cited documents on Form PTO-1449 (5 pages);
- Copies of the cited documents (AM25, AJ26, AK26, AP61, AQ61, AR61); and 4.
- 5. One (1) return postcard; and
- 6. Credit Card Payment Form PTO-2038 in the amount of \$180.00 to cover the Information Disclosure Statement Fee.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Sterne, Kessler, Goldstein & Fox PLLC.: 1100 New York Avenue, NW: Washington, DC 20005: 202.371.2600 f 202.371.2540: www.skgf.com

Commissioner for Patents July 13, 2006 Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSTER, GOLDSTEIN & FOX P.L.L.C.

Attorney for Applicants Registration No. 35,239

MQL/MKH:apg Enclosures

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PTO/SB/17 (01-06) Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 19 uired to respond to a collection of information unless it displays a valid OMB control number Complete if Known Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). **Application Number** 09/476,092 Filing Date January 3, 2000 For FY 2006 First Named Inventor David F. SORRELLS **Examiner Name** Mehrpour, Naghmeh Applicant claims small entity status. See 37 CFR 1.27 Art Unit 2617 TOTAL AMOUNT OF PAYMENT (\$) 1744.0250001 180.00 Attorney Docket No. METHOD OF PAYMENT (check all that apply) Check X Credit Card None Money Order Other (please identify): 19-0036 X | Deposit Account | Deposit Account Number: Deposit Account Name: Sterne, Kessler, Goldstein & Fox P.L.L.C. For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayments of fee(s) X Credit any overpayments under 37 CFR 1.16 and 1.17 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.) 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **FILING FEES EXAMINATION FEES** SEARCH FEES **Small Entity Small Entity Small Entity** Fees Paid (\$) Application Type Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Utility 300 500 200 150 250 100 200 Design 100 100 130 65 50 Plant 200 300 100 150 160 80 Reissue 300 150 500 600 250 300 200 Provisional 100 O 0 2. EXCESS CLAIM FEES **Small Entity** Fee (\$) Fee (\$) Fee Description Each claim over 20 (including Reissues) 50 25 Each independent claim over 3 (including Reissues) 200 100 360 Multiple dependent claims 180 **Total Claims Multiple Dependent Claims** Extra Claims Fee (\$) Fee Paid (\$) - 20 or HP = Fee Paid (\$) Fee (\$) HP = highest number of total claims paid for, if greater than 20. Indep. Claims **Extra Claims** Fee Paid (\$) Fee (\$) - 3 or HP = HP = highest number of independent claims paid for, if greater than 3. 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee Paid (\$) (round up to a whole number) x 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) Other (e.g., late fling surcharge): Information Disclosure Statement Fee 180.00 SUBMITTED BY Registration No. Telephone Signature 35,239 (202) 371-2600 (Attorney/Agent) Name (Print/Type) Date

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

áel O. Lee

July 13, 2006



In re application of:

Sorrells et al.

Appl. No.: 09/476,092

Filed: January 3, 2000

For:

Analog Zero IF FM Decoder and **Embodiments Thereof, Such As** The Family Radio Service

Confirmation No.: 7304

Art Unit: 2617

Examiner: Mehrpour, Naghmeh

Atty. Docket: 1744.0250001

## Twelfth Supplemental Information Disclosure Statement

Mail Stop Amendment

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. The numbering on this Twelfth Supplemental Information Disclosure Statement is a continuation of the numbering in Applicants' Eleventh Supplemental Information Disclosure Statement filed on May 8, 2006 in connection with the above-captioned application.

Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

## 07/17/2006 MBEYENE1 00000053 09476092

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

Applicants provide the following comments regarding the documents:

Documents AM25, AJ26, and AK26 were cited in an Office Action in related Japanese Patent Application No. 2002-048676, filed October 18, 1999, entitled "Integrated Frequency Translation and Selectivity with a Variety of Filter Embodiments," directed to related subject matter. Also cited in said Office Action was Japanese Patent Publication No. 60-130203, which has already been cited in the present application.

Documents AG73 and AH73 were cited in an Office Action in related U.S. Patent Application No. 10/197,255, filed July 18, 2002, entitled "Networking Methods and Systems," directed to related subject matter. Also cited in said Office Action were U.S. Patent Nos. 5,703,584; 5,887,001; 6,298,065; and 6,850,742; and U.S. Patent Publication Nos. 2002/0080728 and 2002/0163921, which have already been cited in the present application.

Documents AI73 and AA74-AD74 were cited in an Office Action in related U.S. Patent Application No. 11/068,370, filed March 1, 2005, entitled "Method and System for Frequency Up-Conversion with a Variety of Transmitter Configurations," directed to related subject matter. Also cited in said Office Action were U.S. Patent Nos. 5,903,854 and 5,914,622, which have already been cited in the present application.

Document AE74 was cited in an Office Action in related U.S. Patent Application No. 09/567,977, filed May 10, 2000, entitled "Optical Down-converter Using Universal Frequency Translation Technology," directed to related subject matter. Also cited in said Office Action was Deboo, Gordon J., *Integrated Circuits and Semiconductor Devices*, 2nd

Edition, McGraw Hill, Inc., pp. 41-45 (1977), which has already been cited in the present application.

Documents AF74 and AG74 were cited in an Office Action in related U.S. Patent Application No. 10/086,367, filed March 4, 2002, entitled "Method and System for Frequency Up-Conversion," directed to related subject matter. Also cited in said Office Action was U.S. Patent No. 6,091,940, which has already been cited in the present application.

Documents AH74, AI74, AA75, AI76, AA77, and AB77 are co-owned patents which are directed to related subject matter.

Document AB75 was cited in an Office Action in related U.S. Patent Application No. 10/197,253, filed July 18, 2002, entitled "Networking Methods and Systems," directed to related subject matter.

Document AC75 was cited in an Office Action in related U.S. Patent Application No. 09/550,644, filed April 14, 2000, entitled "Method and System for Down-Converting an Electromagnetic Signal, Transforms for Same, and Aperture Relationships," directed to related subject matter. Also cited in said Office Action was U.S. Patent No. 6,404,758, which has already been cited in the present application.

Documents AD75-AG75 were cited in an Office Action in related U.S. Patent Application No. 11/107,861, filed April 18, 2005, entitled "Method and Apparatus for a Parallel Correlator and Applications Thereof," directed to related subject matter.

Documents AH75, AI75, and AA76-AC76 were cited in an Office Action in related U.S. Patent Application No. 11/230,732, filed September 21, 2005, entitled "Applications of Atty. Docket: 1744.0250001

Universal Frequency Translation," directed to related subject matter. Also cited in said Office Action were U.S. Patent Nos. 6,208,636 and 7,016,663, which have already been cited in the present application.

Documents AD76-AH76 were cited in a Notice of Allowance in related U.S. Patent Application No. 10/961,253, filed October 12, 2004, entitled "Apparatus, System, and Method for Down Converting and Up Converting Electromagnetic Signals," directed to related subject matter. Also cited in said Notice of Allowance was U.S. Patent No. 6,404,823, which has already been cited in the present application.

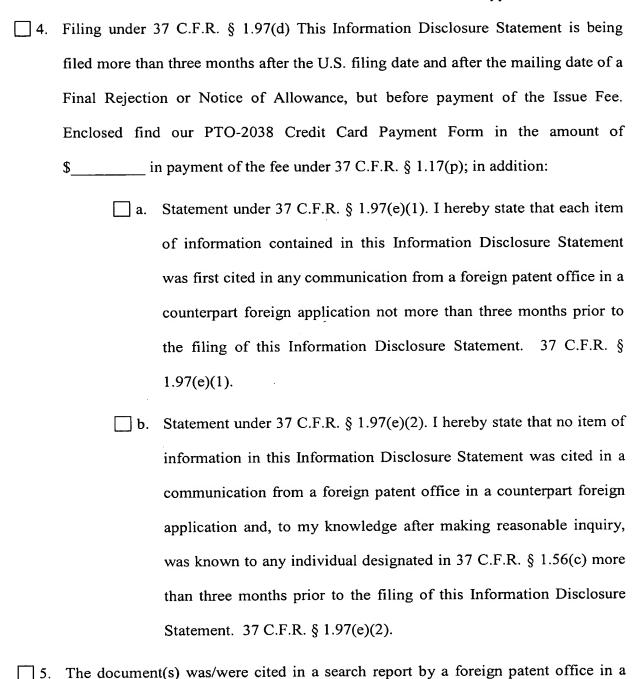
This statement should not be construed as a representation that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

Applicants have checked the appropriate boxes below:

- ☐ 1. Statement under 37 C.F.R. 1.704(d). Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this information disclosure statement.
- ☐ 2. Filing under 37 C.F.R. § 1.97(b). This Information Disclosure Statement is being filed within three months of the date of filing of a national application other than a continued prosecution application (CPA), OR within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, OR before the mailing date of a first Office Action on the merits OR

before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No statement or fee is required.

- - a. Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).
  - b. Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).



counterpart foreign application. Submission of an English language version of the

search report that indicates the degree of relevance found by the foreign office is

provided in satisfaction of the requirement for a concise explanation of relevance. 1138 OG 37, 38.

Document AM25 (JP 61-232706) appears to describe a frequency converter for signals. An English language abstract of document AM25 is enclosed as document AP61.

Document AJ26 (JP 9-171399) appears to describe a sound synthesizing method and circuit. An English language abstract of document AJ26 is enclosed as document AO61.

Document AK26 (JP 10-41860) appears to describe an echo canceller. An English language abstract of document AK26 is enclosed as document AR61.

- ∑ 7. Copies of documents AM25, AJ26, AK26, and AP61-AR61 are enclosed. However, in accordance with 37 C.F.R. § 1.98(a)(2), copies of the U.S. patents and patent publications cited on the attached Form PTO-1449 are not enclosed.
- 8. Copies of the documents were cited by or submitted to the Office in an IDS that complies with 37 C.F.R. § 1.98(a)-(c) in Application No.\_\_\_\_\_\_\_, filed \_\_\_\_\_\_, which is relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these documents are not attached. 37 C.F.R. § 1.98(d).
- 9. It is expected that the examiner will review the prosecution and cited art in the parent application no(s). \_\_\_\_\_ in accordance with MPEP 2001.06(b), and

indicate in the next communication from the office that the art cited in the earlier prosecution history has been reviewed in connection with the present application.

It is respectfully requested that the Examiner initial and return a copy of the enclosed Form PTO-1449, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

espectfully submitted,

TERNE KESSLER, GOLDSTEIN & FOX P.L.L.C.

Michelle K. Holoubek
Attorney for Applicants

Registration No. 54,179

Date:

July 13,2006

1100 New York Avenue, N.W. Washington, D.C. 20005-3934 (202) 371-2600

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JUL 1 3 2006 Page 1 of 5 ATTY. DOCKET NO. APPLICATION NO. **FORM PTO-1449** 1744.0250001 09/476.092 FIRST NAMED INVENTOR SIXTH SUPPLEMENTAL David F. Sorrells INFORMATION DISCLOSURE STATEMENT FILING DATE **ART UNIT** January 3, 2000 2617 **U.S. PATENT DOCUMENTS EXAMINER** DOC. FILING DATE CLASS SUB-CLASS DATE NAME DOCUMENT NUMBER INITIAL REF. AΑ AB AC AD ΑE ΑF 07/2003 Johnson AG73 6,591,310 B1 Shaeffer et al. **AH73** 6,963,626 B1 11/2005 03/1989 Kahn A173 4,811,422 **FOREIGN PATENT DOCUMENTS EXAMINER** DOC. SUB-CLASS TRANSLATION COUNTRY CLASS DOCUMENT NUMBER DATE REF. INITIAL Yes ΑJ No Yes ΑK No Yes ΑL No No JP 61-232706 10/1986 JР AM25 OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.) **EXAMINER** DOC. CITATION INITIAL REF. **AN61** AO61 English-language Abstract of Japanese Patent Publication No. JP 61-232706, data supplied by the espacenet, AP61 1 page (October 17, 1986 - Date of publication of application). English-language Abstract of Japanese Patent Publication No. JP 9-171399, data supplied by the espacenet, AQ61 1 page (June 30, 1997 - Date of publication of application). English-language Abstract of Japanese Patent Publication No. JP 10-41860, data supplied by the espacenet, **AR61** 1 page (February 13, 1998 - Date of publication of application). DATE CONSIDERED

**EXAMINER**: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

**EXAMINER** 

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					FIRST NAMED INVENTOR						
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	AA74	5,825,257	10/1998	к	lymyshyn et al.						
	AB74	5,986,600	11/1999	М	lcEwan						
	AC74	6,865,399 B2	03/2005	F	ujioka <i>et al.</i>						
	AD74	2006/0039449 A1	02/2006	F	ontana <i>et al.</i>		-				
	AE74	5,847,594	12/1998	M	lizuno						
	AF74	3,641,442	02/1972	В	oucher						
	AG74	5,140,699	08/1992	K	ozak						
	AH74	7,050,058 B2	05/2006	s	orrells et al.		<u> </u>				
	AI74	7,054,296 B1	05/2006	1 -	orrells et al.						
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	AK26 JP 10-41860 02/19		02/1998	8 	JP			No Yes			
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<del></del>	AA75 AB75	7,065,162 B1 2003/0149579 A1	08/2003	_	gemann <i>et al</i> .					
	AC75	5,063,387	11/1991		ower					
<del></del>	AD75	5,535,402	07/1996		ibowitz et al.					
	AE75	6,512,785 B1	01/2003		ou et al.					
	AF75	6,567,483 B1	05/2003	De	ent e <i>t al.</i>					
·	AG75	6,775,684 B1	08/2004	То	yoyama <i>et al</i> .		_			
•	AH75	4,740,792	04/1988	Sa	igey et al.					
	Al75	5,239,687	08/1993	Ch	nen					
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	AA76	5,552,789	09/1996		huermann					
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	AC76	6,647,270 B1	11/2003	Hir	mmelstein				-	
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	AE76	6,313,700 B1	11/2001	Nis	shijima <i>et al</i> .					
·	AF76	6,959,178 B2	10/2005	Ma	acedo et al.					
	AG76	6,999,747 B2	02/2006	Su	l					
•	AH76	2004/0125879 A1	07/2004	Ja	ussi <i>et al.</i>					
	A176	7,072,390 B1	07/2006		orrells <i>et al</i> .					
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	AA77	7,072,427 B2	07/2006	Rawlins et al.					
	AB77	7,076,011 B2	07/2006	Cook et al.					
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